



SHEET 1 OF 1

FORM PTO-1449, Department of  
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STATEMENT BY APPLICANT**

(Use several sheets if necessary)

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SERIAL NO.

10/634,993

APPLICANT

Norifumi NISHIKAWA et al.

FILING DATE

August 6, 2003

GROUP

2171

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
mp	5,974,135	10-1999	BRENEMAN et al.			
mp	5,757,669	05-1998	CHRISTIE et al.			
mp	6,263,053	07-2001	KUFTEDJIAN et al.			
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mp	2001/0056554 A1	12-2001	CHRBASZCZ			
mp	2002/0042818A1	4-2002	HELMER et al.			
mp	2002/0143791 A1	10-2002	LEVANON et al.			
mp	2003/0009707 A1	1-2003	PEDONE et al.			
mp	2003/0149709 A1	8-2003	BANKS			
mp	2003/0217077 A1	11-2003	SCHWARTZ et al.			
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mp	2004/0139235 A1	07-2004	RASHID et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

*MOVIMKinfya*

DATE CONSIDERED

1/27/06

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

INFORMATION UNDER 37 CFR 1.56(a)

(For Initial Filing)

The following references are submitted as information  
to comply with the duty of disclosure under 37 CFR 1.56(a):

References	Disclosed in the specification?		Copy			Translation	
	Yes	No	Enc.	Follow	Please obtain	Enc.	Not available
mp 1. "Data Joiner", IBM, 2000	<input type="radio"/>		<input type="radio"/>				
mp 2. "Building Storage Networks", Marc Farley, pp.118-124, Osbone/McGraw-Hill, 2001	<input type="radio"/>		<input type="radio"/>				
mp 3. "HiRDB Version 6", Hitachi, Ltd., 2001	<input type="radio"/>		<input type="radio"/>				
mp 4. "Information Integration - Distributed access and data consolidation", IBM, 2003		<input type="radio"/>	<input type="radio"/>				
mp 5. "Addressing Federal Government Disaster Recovery Requirements with Hitachi Freedom Storage", Hitachi, November 2002		<input type="radio"/>	<input type="radio"/>				
6.							
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